PTO/SERIA (08-00)

Approved for use through 10:01:2002. OMB 60:51:0031

U.S. Patent and Trademark Officer. U.S. OEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

506431.0035

Attorney Docket Number:

Complete if Known Substitute for Form 1449A/PTO Application Number: Not Yet Assigned INFORMATION DISCLOSURE Filing Date: Not Yet Assigned STATEMENT BY APPLICANT First Named Inventor. C. Edward Baxter, Jr. Group Art Unit (use as many sheets as necessary) Not Yet Assigned _ 1 7 13 Examiner Name: Not Yet Assigned

of 3

FRED TESKIN PRIMARY EXAMINER

Sheet 1

aminer	Cite	U.S. Patent Document			Date of Publication of	Pages, Columns, Lin
tials*	No.1	Number (d	nd Code ² known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
7		132,264	<u> </u>	Earnes et al.	10-15-1872	- garto rupca
1-1		2,139,038		Russell	12-06-38	
+		2,379,656		Ruthruff	07-03-45	
+	_	2,407,494	\sqcup	Hartvigsen	09-10-46	
+-+		2,411,097	Ш	Корр	11-12-46	
╁┼		2,559,062	\Box	Domte	07-03-51	
4-1		2,559,984		Montgomery et al.	07-10-51	
₩	-	2,727,022	L_	Linsk	12-13-55	
+		2,833,840		Longwell	05-06-58	
44	-	2,856,395		Richard, Jr. et al.	10-14-58	
44	\rightarrow	2,889,370		Schechter	06-02-59	
11		2,918,508		Coopersmith et af.	12-22-59	
11		3,024,226		Nolan, Jr.	03-06-62	
11		3,166,546		Nolan, Jr.	01-19-65	
11		3,284,537		Webb, Jr.	11-08-66	
44		3,306,907		McNinch	02-28-67	·
11		3,346,354		Kautsky, et al.	10-10-67	
\sqcup		3,382,291	\Box	Brennan	05-07-68	
Ш	1	3,634,383	$\neg \neg$	Miller, Jr.	01-11-72	
ш		3,726,842	\neg	Treischmann et al.	04-10-73	
Ш		3,778,487		Driscoil et al.	12-11-73	
Ц		3,780,128		Shubkin	12-18-73	
		3,849,085	$\neg \neg$	Kreuz, et al.	11-19-74	
		3,849,085		Kreuz et al.	11-19-74	
	\Box	3,927,041	\neg	Cangel et al.	12-16-75	
		3,935,249		Puskas et al.	01-27-76	
	\Box	3,991,129		Daniels	11-09-76	
		4,110,521		Barnett et al.	08-29-78	
\perp		4,152,499	$\neg \uparrow$	Boerzel et al.	05-01-79	
$\Box \Gamma$		4,227,027	_	Booth et al.	10-07-80	
		4,231,759	_	Udelhofen, ed al.	11-04-80	
\Box	\neg	4,231,759		Udelhofen et al.	11-04-80	
\perp		4,238,628	_	Cahill, et al.	12-09-80	
\perp		4,238,628		Cahill et al.	12-09-80	
		4,383,093		Shiraki et al.	05-10-83	
$\perp \Gamma$	1	4,391,959	_	Fauth et al.	07-05-83	
	_[-	,400,493	_	Abernathy, Jr. et al.	08-23-83	
	7	,429,099		Kennedy et al.	01-31-84	
\perp	_ [4	,433,197	_	/ogel et at.	02-21-84	
\perp	1	,605,808	_	Samson	08-12-86	
I	\Box	,663,406	_	Pronstert et al.	05-05-87	
T	- 4	,691,072	_	ichick et al.	09-01-87	
	4	,849,572		Chen et al.	07-18-89	
T	14	,883,847	_	eung e tal.	11-28-89	
	_	,914,166		(ennedy et al.	04-03-90	
T	_	,943,616		Alshra et al.		
		,956,512		lissfolk et al.	07-24-90	

PT	4,973,733	Valkovich et al.	11-27-90	
\mathcal{L}	4,982,042	Akatsu et al.	01-01-91	
$I \perp$	5,068,490	Eaton	11-26-91	
	5,175,225	Ruhe, Jr.	12-29-92	
	5,175,225	Ruhe, Jr.	12-29-92	
	5,191,044	Rath et al.	03-02-93	
	5,192,335	Cherpeck	03-09-93	
	5,192,335	Cherpeck	03-09-93	
$\perp \perp$	5,254,649	Mün et al.	10-19-93	
	5,254,784	Numinen et al.	10-19-83	
	5,286,823	Rath	02-15-94	
1	5,300,701	Cherpeck	04-05-94	
	5,300,701	Cherpeck	04-05-94	
$\perp \perp$	5,408,018	Rath	04-18-95	
$\bot \bot$	5,439,991	Colman et al.	08-08-95	
	5,448,001	Baird	09-05-95	
	5,563,313	Chung et al.	10-08-96	
	5,710,225	Johnson et al.	01-20-98	
	5,731,379	Kennan et al.	03-24-98	
	5,733,993	Yu et al.	03-31-98	
	5,767,334	Nissfolk et al.	06-16-98	
1	5,779,742	Baker	07-14-98	
	5,792,729	Harrison et al.	08-11-96	
	5,811,616	Holub et al.	09-22-98	
	5,814,706	Yu et al.	09-29-98	
	5,910,550	Rath	06-08-99	
	5,962,604	Rath	10-05-99	
F	6,562,913	Baxter, Jr. et al.	05-13-03	

Initials*		Foreign Palent Document		N PATENT DOCUMENTS				
	No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Cited Document Where Releva	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	t rant
F		Canada	2,217,848			12-19-96	rigures Appear	۲
$-\!$		Canada	2,195,575			02-08-96		╁
\mathcal{L}		Germany	1,592,016			07-01-81		t
		Germany	DE 27 02 604 ·			07-27-78		╁
$oldsymbol{\sqcup}$		GB	1,159,368			07-23-69		H
		EPC	0 279 456 A1			08-24-88		H
		EPC	0 322 241 A1			06-28-89		ŀ
<u> </u>		EPC	0 355 997 A1			02-28-90		⊦
		EPC	0 400 905 A1			12-05-90		┞
		EPC	0 481 297 A2			04-22-92		H
		EPC	0 628 575 A1			12-14-94		┝
		EPC	0 671 419 A1			09-13-95		┝
		EPC	0 831 141 A1			03-25-98		H
\Box	\Box	EPC	0 628 022 81			11-03-98		L
		PCT	WO 94/14739			04-07-94		H
		PCT	WO 93/10063			05-27-93		H
ブ 」		PCT	WO 01/19873			03-23-2001		۲

Examiner			, ,	
		Date	05/11	
Signature	- FDCD TEOUR	Considered	764	
	FRED TESKIN			

EXAMINER: Initial if reference in the property of the conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number. 2 See attached kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁴ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/088 (08-00)
Approved for use through 10/31/2002 OMB 0551-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Officer the Paperwork Reduction Act of 1995, N	opersons are required to respond to a	a collection of information unless it contains a valid OMB control number.		
Substitute for Form 1449B/PTO (Modified)	Complete If Known			
	Application Number:	Not Yet Assigned		
INFORMATION DISCLOSURE	Filing Date:	Not Yet Assigned		
STATEMENT BY APPLICANT	First Named Inventor:	C. Edward Baxter, Jr.		
(use as many sheets as necessary)	Group Art Unit:	Not Yet Assigned		
	Examiner Name:	Not Yet Assigned		
Sheet 3 of 3	Attorney Docket Number:	506431,0035		

$\overline{}$		THER REFERENCES – NON PATENT LITERATURE DOCUMENTS AND INFORMATION	
Examiner Initials*	Cite No.1	lockde name of the author (in CAPTAL LETTERS), title of the stride (when appropriate), title of the itam (book, magazine, journal, senial, symposium, catalog, etc), date, page(s), volume-lasue number(s), publisher, oily and country where published.	т
	None.		
	$-\Lambda$		
			$\neg +$
			-+
		FRIED TESKIN	
xaminer Signature	PF	Date Considered Co L4	

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patient and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patients, Washington, DC 20231.